## CURRICULUM VITAE Chi-Nien Chung (鍾基年)

Chair Professor Department of Management and Marketing Faculty of Business Hong Kong Polytechnic University Tel: (852) 3400-3646; Email: chinien.chung@polyu.edu.hk

### **EDUCATION**

Ph.D. Sociology, 2000, Stanford University M.A. Sociology, 1993, National Tsinghua University, Taiwan

## ACADEMIC AND PROFESSIONAL EXPERIENCE

Associate Dean (Research and Postgraduate Studies), Faculty of Business, Hong Kong Polytechnic University, 2023~
Chair Professor, Faculty of Business, Hong Kong Polytechnic University, 2022~
Department Head, Strategy & Policy, NUS Business School, 2020.01~2021.12
Professor, NUS Business School, 2017.01~
Associate Professor, NUS Business School, 2008-2016
Assistant Professor, NUS Business School, 2000-2007

### **RESEARCH INTERESTS**

Business Groups in Emerging Economies Family Firms, Succession, and Corporate Governance Political Connections, Institutions and Firm Strategies

## EDITORIAL BOARD MEMBERSHIP

Editorial Board Member, Journal of Management Studies, 2015~ Editorial Board Member, Academy of Management Journal, 2014~2016 Editorial Board Member, Corporate Governance: An International Review, 2014~ Editorial Board Member, Management and Organization Review, 2011~ Editorial Board Member, Strategic Management Journal, 2008~

## **GUEST EDITOR**

Corporate Governance: An International Review, Special issue on "Cross-National Perspectives on Ownership and Governance in Family Firms" (published in 2015)

# **REFERRED JOURNAL PUBLICATIONS**

- Ou, Amy Y., Qian Lu, Xina Li, Chi-Nien Chung, and Guoli Chen. 2024. "CEO Humility and Corporate Social Irresponsibility: Evidence Based on a New Unobtrusive Measure." *Organization Science* Published Online (DOI: 10.1287/orsc.2022.17104).
- Chung, Chi-Nien, Xiaowei Rose Luo, and Weiting Zheng. 2024. "Institutional Divide, Political Ties, and Contested Corporate Governance Reform in Taiwan." *Journal of Management Studies* 61 (4): 1165-1735.
- Lyu, Feifei, Xu Huang, Erica Xu, Chi-Nien Chung, and Xiaogang He. 2022. "Instrumental Love: Political Marriage and Family Firm Growth." *Management and Organization Review* 18 (2):279-318.
- 4. Chi-Nien Chung, Zong-Rong Lee, and Hongjin Zhu. 2021. "Resource Extension and Status Identity: Marriage Ties among Family Business Groups in an Emerging Economy." *American Journal of Sociology* 127 (3): 904-949.
- Chi-Nien Chung and Hongjin Zhu. 2021. "Corporate Governance Dynamics of Political Tie Formation in Emerging Economies: Business Group Affiliation, Family Ownership, and Institutional Transition." *Corporate Governance: An International Review* 29 (4): 381-401.
- 6. Xiaowei Luo, Young-Chul Jeong, and Chi-Nien Chung. 2019. "In the Eye of the Beholder: Global Security Analysts' Coverage of Family Firms in an Emerging Market." *Journal of Management* 45 (5): 1830-1857.
- Qian Gu, Jane Lu, and Chi-Nien Chung. 2019. "Incentive or Disincentive? A Socioemotional Wealth Explanation of New Industry Entry in Family Business Groups." *Journal of Management* 45 (2): 645-672.
- Young-Choon Kim and Chi-Nien Chung. 2018. "Organizational Change Under Institutional Logics: Family Control of Corporate Boards in Taiwan." *Sociological Perspective* 61(3):444-466.
- Chung, Chi-Nien, and Young-Choon Kim. 2018. "Global Institutions and Local Filtering: Introducing Independent Directors to Taiwanese Corporate Boards." *International Sociology* 33(3):292-314.
- Weiting Zheng, Kulwant Singh, and Chi-Nien Chung. 2017. "Ties to Unbind: Political Ties and Firm Sell-Offs During Institutional Transition." *Journal of Management* 43 (7): 2005-2036.
- Ishtiaq P. Mahmood, Chi-Nien Chung, and Will Mitchell. 2017. "Political Connections and Business Strategy: The Impact of Types and Destinations of Political Ties on Business Diversification in Closed and Open Political Economic Contexts." *Global Strategy Journal* 7(4): 375-399.
- Hongjin Zhu and Chi-Nien Chung. 2014. "Portfolio of Political Ties and Business Group Strategy in Emerging Economies: Evidence from Taiwan." *Administrative Science Quarterly* 59 (4): 599-638.
- Ishtiaq P. Mahmood, Chi-Nien Chung, and Will Mitchell. 2013. "Business Group Innovation: Combinatorial Opportunities and Exhaustion as a Market Evolves." *Management Science* 59 (5): 1142-1161.
- Chi-Nien Chung and Xiaowei Luo. 2013. "Leadership Succession and Firm Performance in an Emerging Economy: Successor Origin, Relational Embeddedness, and Legitimacy." *Strategic Management Journal*\_34 (3): 338-357
- 15. Xiaowei Luo and Chi-Nien Chung. 2013. "Filling or Abusing the Institutional Void? Ownership and Management Control of Public Family Businesses in an Emerging Market." Organization Science 24 (2):591-613.

- 16. Xiaowei Luo, Chi-Nien Chung, and Michael J. Sobczak. 2009. "How do Corporate Governance Model Differences affect Foreign direct Investment in Emerging Economies?" *Journal of International Business Studies* 40 (3): 444-467.
- Chi-Nien Chung and Xiaowei Luo. 2008. "Institutional Logics or Agency Costs: The Influence of Corporate Governance Models on Business Group Restructuring in Emerging Economies." *Organization Science*\_19 (5): 766-784.
- Chi-Nien Chung and Xiaowei Luo. 2008. "Human Agents, Contexts, and Institutional Change: The Decline of Family in the Leadership of Business Groups." *Organization Science* 19 (1): 124-142.
- 19. Sea-Jin Chang, Chi-Nien Chung, and Ishtiaq P. Mahmood. 2006. "When and How does Business Group Affiliation Promote Firm Innovation? A Tale of Two Emerging Economies." *Organization Science* 17 (5): 637-656.
- 20. Chi-Nien Chung. 2006. "Beyond Guanxi: Network Contingencies in Taiwanese Business Groups." *Organization Studies* 27 (4): 461-489.
- 21. Xiaowei Luo and Chi-Nien Chung. 2005. "Keeping It All in the Family: the Role of Particularistic Relationships in Business Group Performance during Institutional Transition." *Administrative Science Quarterly* 50 (3): 404-439.
- 22. Chi-Nien Chung. 2004. "Institutional Transition and Cultural Inheritance: Network Ownership and Corporate Control of Business Groups in Taiwan, 1970s- 1990s." *International Sociology*\_19 (1): 25-50.
- Chi-Nien Chung. 2003. "Managerial Structure of Business Groups in Taiwan: The Inner Circle System and its Social Organization." *Developing Economies*\_41 (1): 37-64.
- Chi-Nien Chung. 2001. "Markets, Culture and Institutions: The Emergence of Large Business Groups in Taiwan, 1950s-1970s." *Journal of Management Studies* 38 (5): 719-745.
- 25. Chi-Nien Chung. 1997. "Networks and Governance in Trade Associations: AEIC and NELA in the Development of the American Electricity Industry, 1885-1910." *International Journal of Sociology and Social Policy* 17 (7/8): 57-110.

## **OTHER RELEVANT PUBLICATIONS**

- Chung, Chi-Nien, and Marleen Dieleman. 2019. "Family Business Groups." Pp. 83-96 in <u>Asian Business and Management: Theory, Practice and Perspectives</u>, edited by Harukiyo Hasegawa and Michael A Witt: Macmillan.
- Aguilera, Ruth V., Till Talaulicar, Chi-Nien Chung, Gonzalo Jimenez, and Sanjay Goel. 2015. "Editorial Introduction to Special Issue on "Cross-National Perspectives on Ownership and Governance in Family Firms"." <u>Corporate Governance : An</u> <u>International Review</u> 23(3):161-66.
- Chi-Nien Chung. 2014. "Small World Networks: Past, Present and Future" in <u>The Palgrave</u> <u>Encyclopedia of Strategic Management</u>, 2014.
- Chi-Nien Chung and Ishtiaq P. Mahmood. 2010. "Business Groups in Taiwan." pp. 180-209 in <u>The Oxford Handbook of Business Groups</u>, edited by Asli M. Colpan, Takashi Hikino, and James R. Lincoln, Oxford University Press.
- Chi-Nien Chung and Ishtiaq P. Mahmood. 2006. "Taiwanese Business Groups: Steady Growth in Institutional Transition." pp. 70-93 in <u>Business Groups in East Asia:</u> <u>Financial Crisis, Restructuring, and New Growth</u>, edited by Sea-Jin Chang, Oxford University Press.
- Chi-Nien Chung. 1998. "Strong Managers and Weak Owners: The Political Roots of American Corporate Finance." <u>Social Forces</u> 77(1): 392-394 (book review).

### MANUSCRIPTS UNDER REVIEW AND REVISION

- Amy Ou, Qian Lu, Guoli Chen, and Chi-Nien Chung "Do no Harm: CEO humility and Corporate Social Irresponsibility." Revised and Resubmitted to *Academy of Management Journal*.
- Ishtiaq P. Mahmood, Chi-Nien Chung, and Will Mitchell." Scouts and Integrators: Intra-Group Ties and the Innovativeness of Business Group Affiliates." Revised and Resubmitted to *Industrial and Corporate Change*.
- Chi-Nien Chung, Xiaowei Luo, and Weiting Zheng. "The Tie that Binds (or Not): Division of Power, Political Ties, and Corporate Governance Reform in an Emerging Economy." Under Review at *Strategic Management Journal*.
- Heeyon Kim, Yoonjeoung Heo, and Chi-Nien Chung. "Changing Tracks: How Status Affects Identity Change in the Korean Popular Music Industry." Under Review at *Organization Science*.

### MANUSCRIPTS IN PREPARATION

Heeyon Kim and Chi-Nien Chung. "The Take-Off of the Korean Wave: Antecedents and Consequences of the Globalization of Korean Pop Culture.

### **CONFERENCE PROCEEDINGS AND PRESENTATIONS (PAST 10 YEARS)**

- Chi-Nien Chung, Xiaowei Luo and Weiting Zheng. 2015. "Compliance or Resistance? Political Ties and Board Independence in an Emerging Economy." Academy of Management Conference, Vancouver.
- Qian Lu and Chi-Nien Chung. 2015. "Does Corporate Philanthropy Alleviate or Amplify Negative Impact of Socially Irresponsible Incident." Academy of Management Conference, Vancouver.
- Chi-Nien Chung and Hongjin Zhu. 2015. "Governance Structure, Institutions and Political Ties in Emerging Economies." Asia Academy of Management Conference, Hong Kong.
- Heeyon Kim and Chi-Nien Chung. 2015. "The Take-Off of The Korean Wave: Antecedents and Consequences of The Globalization of Korean Pop Culture." European Group for Organizational Studies Annual Conference, Athens, Greece.
- Chi-Nien Chung and Hongjin Zhu. 2014. "The Influence of Business Group, Family, and Institutions on Political Ties in Emerging Economies." Academy of Management Conference, Philadelphia, Pennsylvania.
- Chi-Nien Chung and Hongjin Zhu. 2014. "Social Identities and Political Ties in Emerging Economies during Regime Change." Conference of International Association for Chinese Management Research (IACMR), Beijing.
- Chi-Nien Chung and Hongjin Zhu. 2013. "Political Tie Establishment of Firms in an Emerging Economy." Academy of Management Conference, Orlando, Florida.
- Qian Gu, Jane Lu and Chi-Nien Chung. 2013. "Curvilinearity in Diversification-Performance Relationship Revisited." Academy of Management Conference, Orlando, Florida
- Chi-Nien Chung and Weiting Zheng. 2012. "Foreign Institutional Investors, Political Ties, and Corporate Governance Reforms in Emerging Economies." Academy of Management Conference, Boston, MA.
- Qian Gu, Jane Lu and Chi-Nien Chung. 2012. "The Invisible Hand of Family: Diversification in Business Groups in Emerging Economies." Asia Academy of Management

Conference, Macau.

- Hongjin Zhu and Chi-Nien Chung. 2011. "Types of Political Ties and Market Entries of Business Groups in Emerging Economies." Academy of Management Conference, San Antonio, TX.
- Qian Gu, Chi-Nien Chung, and Jane Lu. 2011. "New Venture Creations by Business Groups: An Intra-Group Structure." Academy of Management Conference, San Antonio, TX.
- Weiting Zheng, Kulwant Singh, and Chi-Nien Chung. 2009. "Political Ties and Their Contingent Impact on Firms Being Acquired in Transition Economies." Academy of Management Conference, Chicago, IL.
- Xiaowei Luo and Chi-Nien Chung. 2009. "A Configurational Approach to Institutions: Performance Consequences of Family Businesses." Academy of Management Conference, Chicago, IL.
- Chi-Nien Chung. 2008. "CEO Succession and Firm Performance: Is It the Same in Emerging Economies?" Academy of Management Conference, Anaheim, CA.
- Hongjin Zhu and Chi-Nien Chung. 2008. "How the Content of Political Connections Affects Industrial Restructuring: Evidence from Taiwan." Academy of Management Conference, Anaheim, CA.
- Qian Gu, Chi-Nien Chung, and Ishtiaq Pasha Mahmood. 2008. "Does More Expropriation Mean More Gain? An Agency Theory Perspective on Family Business Groups in Emerging Economy." Academy of Management Conference, Anaheim, CA.
- Chi-Nien Chung and Young-Choon Kim. 2007. "Foreign Investors and Institutional Change: Appointing Independent Directors in Emerging Economies." Academy of Management Conference, Philadelphia, PA.
- Xiaowei Luo and Chi-Nien Chung. 2007. "Enter or Exit: An Institutional Perspective on Corporate Restructuring of Business Groups in Emerging Economies." <u>Academy of</u> <u>Management Best Paper Proceedings</u>, Philadelphia, PA.
- Chi-Nien Chung, Ishtiaq P. Mahmood, and Will Mitchell. 2007. "Political Ties and
- Strategy: The Impact of Types and Destinations of Ties on Diversification" Academy of Management Conference, Philadelphia, PA.
- Chi-Nien Chung, Ishtiaq P. Mahmood, and Will Mitchell. 2006. "A Contingency Theory of Political Connections: Business Group Diversification In Emerging Economies." <u>Academy of Management Best Paper Proceedings</u>, Atlanta, GA.
- Xiaowei Luo, Chi-Nien Chung, and Michael J. Sobczak. 2006. "How Do Corporate Governance Model Differences Affect Foreign Direct Investment in Emerging Economies?". Academy of Management Conference, Atlanta, GA.

## **RESEARCH GRANTS**

2000-2002, R-317-000-034-112, Institutional Transformation and Organizational Response: A longitudinal Analysis of Business Groups in Taiwan, 1980-2000. Amount: S\$13,950 (PI)

2002-2005, R-317-000-051-112, Strategies, Structure and Performance of Business Groups in Emerging Economies: The Case of Taiwan, 1970-2000. Amount: \$25,525 (PI)

2004-2007, R-317-000-056-112, Guanxi Succession and Performance of Taiwanese Business Groups, 1974-2000. Amount: S\$27,675 (PI)

2008-2011, R-317-000-077-112, Familism as an Institution in Emerging Economies. Amount: S\$43,760 (PI)

2012-2015, R-317-000-107-112, Political Ties Building in Emerging Economies. Amount: S\$29,094 (PI)

2015-2018, R-313-000-116-646, The Take-Off of the Korean Wave: Antecedents and Consequences of the Globalization of Korean Pop Culture (CO-PI) Amount: S\$161,580

2018-2022, R-313-000-133-115, Resource Need or Social Status: Marriage Ties of Large Family Business Groups. Amount: S\$35,550 (PI)

2019-2023, 71810107002, Internationalization and Innovation of Family Business: A Comparative Investigation based on Institutions and Culture (家族企业国际化与创新:基于制度 -文化的比较研究) (CO-PI, project based in Sun Yat Sen University, School of Management) Amount: CNY\$2,050,000

# HONORS AND FELLOWSHIPS

Best Family Business Paper Award - Entrepreneurship Division of Academy of Management, Seattle, 2022
Best Reviewer Award, Management & Organization Review, 2014
Finalist, Best Conference Theme Paper Award, International Association for Chinese
Management Research (IACMR), Beijing, 2014.
Finalist, Best Macro Paper Award, International Association for Chinese Management
Research (IACMR), Hong Kong, 2012.
Finalist, Best Macro Paper Award, International Association for Chinese Management
Research (IACMR), Shanghai, China, 2010.
Outstanding Researcher Award Recognition List, NUS Business School, 2008
Winner, Best Macro Paper Award, International Association for Chinese Management
Research (IACMR), Guangzhou, China, 2008.
Winner, Citation of Excellence, Emerald Management Reviews, 2007
Winner, Best Macro Paper Award, International Association for Chinese Management
Research (IACMR), Nanjing, China, 2006.
Winner, Department Outstanding Research Award, NUS Business School, 2005.
Finalist, Best Paper Award, International Management Division, Academy of Management,
2005, Honolulu, HI.
1st Runner Up, Haynes Prize, Academy of International Business 2004, Stockholm.
TEACHING EXPERIENCE
MPAM <u>Leadership and Management</u> (BMM 5001) (Master program for Chinese government
officials, 45 students each session)
EMBA Cross-Cultural Human Resource Management (BMC 5008) (45-50 students)

EMBA Asia-Pacific Business Environment (BMC 5004) (45-50 students)

MBA Management and Organization (BMA 5004) (30 students each session)

Undergraduate Management and Organization (MNO 1001) (300 students each session)

Undergraduate Organizational Effectiveness (MNO 3303) (30-45 students each session)

Undergraduate <u>Managing Social Networks in Organizations and Markets</u> (BSP 4515) (15-45 students each session)

PhD seminar Organization Theory (BMO6002) (10-15 students)

PhD seminar Strategic Behavioral Strategic Management BBP6782B (3 students)

## **DOCTORAL DISSERTATION COMMITTEES**

Xufei Ma (Professor, City University of Hong Kong)
Ajai Singh Gaur (Professor, University of New Jersey)
Zheng Weiting (Senior Lecturer, University of New South Wales)
Hongjin Zhu (Associate Professor, McMaster University)
Qian Gu (Associate Professor, Georgia State University)
Qian Lu (Assistant Professor, Nanjing University)
Yoonjeoung Heo (Assistant Professor, Xi'an Jiaotong-Liverpool University)
Danyang Chen (Assistant Professor, Shanghai University of Finance and Economics)

## **PROFESSIONAL ACTIVITIES**

### Faculty Mentor and Panelist

Junior Faculty Career Workshop, Taiwan Academy of Management (TAOM), Taipei, 2017
 Junior Faculty Consortium, OMT Division, Academy of Management (AOM), Los Angeles, 2016
 Junior Faculty Paper Development Workshop, International Association for Chinese Management Research (IACMR), Hangzhou, 2016.

Doctoral Consortium, Asian Management Research Consortium, Singapore, 2016 Junior Faculty Paper Development Workshop, International Association for Chinese Management Research (IACMR), Hong Kong, 2012.

### External Reviewer

Tenure case, Qatar University, 2022
Tenure case, Boconni University, 2018
Tenure case, Singapore Management University, 2017
Re-appointment case for Research Fellow, Division of Humanities and Social Sciences, Academia Sinica, Taiwan, 2016
Tenure case, University of Macau, 2015
Academic Award of Ministry of Education, Social Science Area, Taiwan, 2015

(The most prestigious scholarship award in Taiwan with a cash prize of NT\$ 1 million) Research Proposal, Research Grants Council of Hong Kong, 2014-2019 Outstanding Research Award, National Science Council of Taiwan, 2011, 2013

Award Committee Member

International Association for Chinese Management Research, 2010 Conference

### Track Chair

Organization and Management Theory (OMT) Division, Asia Academy of Management, 2010 Conference

Session Chair

International Association for Chinese Management Research, 2016 Conference

### Invited Presentations

School of Business, Hong Kong Polytechnic University, 2018 School of Business, Hong Kong Baptist University, 2017 School of Public Administration, Seoul National University, Seoul, 2016 School of Business, Sun Yat-Sen University, Guangzhou, 2016 School of Business, Nanjing University, Nanjing, 2016 School of Business, Korea Advanced Institute of Science and Technology (KAIST), 2015 School of Business, Seoul National University, Seoul, 2015 China Europe International Business School, Shanghai Campus, 2014 School of Business, Hong Kong Polytechnic University, 2013 School of Business, University of Hong Kong, 2013 School of Business, Singapore Management University, 2013 School of Business, City University of Hong Kong, 2011

#### **Professional Memberships**

Academy of Management American Sociological Association International Association for Chinese Management Research Asia Academy of Management

#### **CITATION STATISTICS (as of July, 2024)**

Scopus: 1617, h-index: 16 Web of Science: 1440, h-index: 16 Google Scholar: 3032, h-index: 20